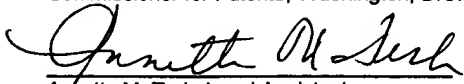


522 Rec'd PCT/PTD 03 JAN 2001

Filed in Duplicate
PATENT APPLICATION

I hereby certify that this paper is being deposited with
the United States Postal Service on January 3, 2001,
in an envelope as "Express Mail Post Office to Addressee"
mailing Label No. EL675081392US addressed to the
Commissioner for Patents, Washington, D.C. 20231.


Annette M. Turk, Legal Assistant

January 3, 2001
(Date)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Masaru Kawai, et al.	:
Serial No.	09/674,547	:
Filed:	November 1, 2000	:
For:	PRE-DILUTED COOLANT	:

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

Box: PCT - MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

01/03/2001 HNGUYEN 00000035 09074547

01 02:054 Dear Sir: 65.00 00

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the DO/EO/US,
mailed on December 5, 2000, enclosed are the following items for filing in the above-referenced U.S.
patent application:

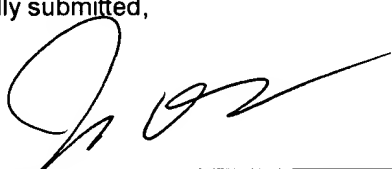
1. Declaration and Power of Attorney, executed by Applicants on December 18, 2000.
2. Small Entity Status is claimed under 37 CFR 1.27.
3. Check to cover the small entity surcharge fee in the amount of \$65.00.
4. Office's copy of form PCT/DO/EO/905.
5. Request for Refund. Filing fees on December 18, 2000, were paid on large entity basis..

If there are additional fees, or any credits, please charge such amounts to Deposit Account
No. 13-4213. A duplicate of this paper is provided for accounting.

Having now complied with all of the requirements of 35 USC § 371, Applicants respectfully request
that an Official Filing Receipt be issued and this application be placed in line for examination.

Respectfully submitted,

By:



Jeffrey D. Myers, Reg. No. 35,964
Direct line: (505) 998-1502

Dated: January 3, 2001

PEACOCK, MYERS & ADAMS, P.C.
Attorneys for Applicant(s)
P. O. Box 26927
Albuquerque, New Mexico 87125-6927

Telephone: (505) 998-1500
Facsimile: (505) 243-2542

Customer No. 005179

[F:\ANNETTE\Hiroe-Kawai-MP.PTO.doc] 30681-1005



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/674547	KAWAI M	30681 1005
INTERNATIONAL APPLICATION NO.		
PCT/JP98/02024		
I.A. FILING DATE	PRIORITY DATE	
06 MAY 98	05 DEC 2000	
DATE MAILED: 05 DEC 2000		

PEACOCK MYERS AND ADAMS P C
P O BOX 26927
ALBUQUERQUE, NM 87125 6927

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as
- ☐ a Designated Office (37 CFR 1.494),
 - ☒ an Elected Office (37 CFR 1.495):
 - ☒ U.S. Basic National Fee.
 - ☒ Copy of the international application in:
 - ☒ a non-English language.
 - ☐ English.
 - ☐ Translation of the international application into English.
 - ☒ Oath or Declaration of inventors(s) for DO/EO/US.
 - ☐ Copy of Article 19 amendments.
 - ☐ Translation of Article 19 amendments into English.
 - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
 - ☒ Translation of Annexes to the International Preliminary Examination Report into English.
 - ☐ Preliminary amendment(s) filed _____ and _____.
 - ☐ Information Disclosure Statement(s) filed _____ and _____.
 - ☐ Assignment document.
 - ☒ Power of Attorney and/or Change of Address.
 - ☐ Substitute specification filed _____.
 - ☐ Verified Statement Claiming Small Entity Status.
 - ☐ Priority Document.
 - ☒ Copy of the International Search Report ☐ and copies of the references cited therein.
 - ☐ Other:
2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☒ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
 - ☒ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
 - ☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 - ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response,

- Enclosed:
- ☐ PCT/DO/EO/917
 - ☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

- ☐ Notice of Defective Translation

RECEIVED

DEC 11 2000

Esther Dove
Esther Dove, Paralegal
Telephone: 703-305-5460

09/674,547



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

M

30681 1005

09/674547

U.S. APPLICATION NO.	5071	FIRST NAMED APPLICANT	PCT/JP98/02024
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PEACOCK MYERS AND ADAMS P.C.

P O BOX 26927

ALBUQUERQUE NM 87125-6927

INTERNATIONAL APPLICATION NO.

05/06/98

00/00/00

I.A. FILING DATE

PRIORITY DATE

01/16/01

DATE MAILED:

NOTIFICATION OF A DEFECTIVE RESPONSE

1. ☐ The request for an extension of time (37 CFR 1.136(a)) filed _____ is defective because the required fee is missing/insufficient. Extension of time fees are listed at 37 CFR 1.17(a)(1)-(a)(5).

2. ☐ Applicant's response filed _____ was received in the Office on _____, which is after the expiration of the period for response set in the last Office notification mailed _____. This application will become abandoned unless applicant obtains an extension of time to reply to the last Office notification under 37 CFR 1.136(a).

3. ☒ Applicant's response filed 03 Jan 2001 included the following items, the receipt of which is hereby acknowledged:

- ☐ Copy of the international application in:
 - ☐ a non-English language.
 - ☐ English.
- ☐ Translation of the international application into English ☐ which is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ Processing fee (37 CFR 1.492(f)) ☐ which is insufficient.
- ☒ Oath or Declaration of inventor(s).
 - ☐ in compliance with 37 CFR 1.497(a) and (b).
 - ☐ not in compliance with 37 CFR 1.497(a) and (b) for the persons indicated on the attached PCT/DO/EO/917.
- ☐ Surcharge (37 CFR 1.492(e)) ☐ which is insufficient.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☐ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☐ Preliminary amendment(s).
- ☐ Information Disclosure Statement(s).
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification.
- ☐ Verified Statement Claiming Small Entity Status.
- ☐ Priority Document.

☒ Other: Translation of International Application still needed
[Refund not eligible due Jan 02, 2001 for refund]

4. ☒ All of the requirements set forth in the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905 mailed _____) have not been completed.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements (Form DO/EO/905), whichever is the longer. No extension of this time limit may be granted under 37 C.F.R. § 1.136, but the period for response set in the Notification of Missing Requirements may be extended up to a maximum of five months.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

Enclosed: ☐ PCT/DO/EO/917☐ Notice of Defective Translation

Patricia Booker, Paralegal

Telephone: 703-305-3738

FORM PCT/DO/EO/916 (December 1997)

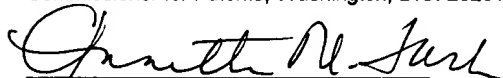
RECEIVED

JAN 22 2001

Peacock, Myers & Adams, PC

In Duplicate
PROVISIONAL PATENT

I hereby certify that this paper is being deposited with the United States Postal Service on January 3, 2001, in an envelope as "Express Mail Post Office to Addressee" mailing Label No. EL675081392US addressed to the Commissioner for Patents, Washington, D.C. 20231.


Annette M. Turk, Legal Assistant

January 3, 2001
(Date)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Masaru Kawai, et al.	:
Serial No.	09/674,547	:
Filed:	November 1, 2000	:
For:	PRE-DILUTED COOLANT	:

REQUEST FOR REFUND UNDER 37 CFR § 1.26

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The below-signed respectfully requests a refund of \$529.00 for fees relating to the subject application which were paid by check on a large entity basis in error, as follows:

<u>Paid</u>	<u>Refund Requested For:</u>
\$860 basic filing fees	\$430.00
\$198 claims fees	<u>99.00</u>

Total requested \$529.00

The undersigned is now aware that the assignee of this application, Shishiai-Kabushikigaisha, qualifies for U.S. small entity status. An Assignment is being forwarded to the U.S. Patent and Trademark Office for recording under separate cover. Small Entity Status is claimed under 37 CFR 1.27.

A duplicate of this Request is provided for accounting purposes.

Respectfully submitted,

Dated: January 3, 2001

By:


Jeffrey D. Myers, Reg. No. 35,964
Direct line: (505) 998-1502

Attorneys for Applicant(s)
PEACOCK, MYERS & ADAMS, P.C.
P. O. Box 26927
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Customer No. 005179